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Docket No. I20 01530 US  
Serial No. 10/026,189  
Filed 12/21/2001

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1. Fee Transmittal for FY 2002, two copies;
2. Transmittal Letter for Notice to File Missing Parts of Application, two copies;
3. Notice to File Missing Parts of Nonprovisional Application
4. Petition to Correct Names of Inventors Submitted—Prior to Filing of Declaration, two pages;
5. Declaration for Utility or Design Patent Application, six pages;
6. Filing receipt corrected with additional inventor's name;
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#3

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PATENT

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Phoenix, Arizona  
March 22, 2002

**Applicant:** Michael J. Emery et al.      **Group -** 2171  
**Serial No.:** 10/026,189      **Examiner -** t.b.d.  
**Filed:** 12/21/2001      **Atty Docket No. -** I20 01530 US  
**For:** METHOD AND APPARATUS FOR RETRIEVING TIME SERIES DATA  
RELATED TO AN ACTIVITY

Box Missing Parts  
U.S. Patent Office  
POB 2327  
Arlington, VA 22202

TRANSMITTAL LETTER FOR NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, dated 01/31/2002, filed herewith are a copy of the subject NOTICE and the executed Declaration for Utility or Design Patent Application.

Please charge Deposit Account No. 01-1125 the amount of \$130.00 for the delayed filing of this document.

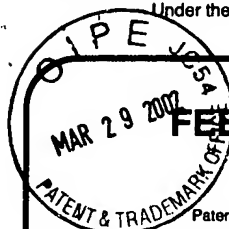
REMARKS

The Commissioner is hereby authorized to charge Deposit Account No. 01-1125 any fees or credit any overpayment under 37 CFR 1.16 and 1.17 that may be required to maintain pendency of this application. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Anthony Miologos  
Reg. No. 29,677  
602-313-5683

Date

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# **FEE TRANSMITTAL** **For FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** \$130.00

**COMPLETE IF KNOWN**

|                      |                         |
|----------------------|-------------------------|
| Application No.      | 10/026,189              |
| Filing Date          | 12/21/2001              |
| First Named Inventor | Michael J. Emery et al. |
| Examiner Name        | t.b.d.                  |
| Group Art Unit       | 2171                    |
| Attorney Docket No.  | I20 01530 US            |

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other

☒ Deposit Account

 Deposit Account Number 01-1125

 Deposit Account Name Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) ☒ Credit any overpayment indicated below

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION**
**1. BASIC FILING FEE**

| Large Entity        |        | Small Entity |        | Fee Description        | Fee Paid        |
|---------------------|--------|--------------|--------|------------------------|-----------------|
| Fee Code            | Fee \$ | Fee Code     | Fee \$ |                        |                 |
| 101                 | 740    | 201          | 370    | Utility Filing Fee     |                 |
| 106                 | 330    | 206          | 165    | Design Filing Fee      |                 |
| 107                 | 510    | 207          | 255    | Plant Filing Fee       |                 |
| 108                 | 740    | 208          | 370    | Reissue Filing Fee     |                 |
| 114                 | 160    | 214          | 80     | Provisional Filing Fee |                 |
| <b>SUBTOTAL (1)</b> |        |              |        |                        | <b>\$ - 0 -</b> |

**2. EXTRA CLAIM FEES**

| Total Claims              | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| 20*                       | - 0 -        | 18.00          | - 0 -    |
| Independent Claims        | - 0 -        | 84.00          | - 0 -    |
| Multiple Dependent Claims | - 0 -        | 280.00         | - 0 -    |

| Large Entity        |        | Small Entity |        | Fee Description   | Fee Paid        |
|---------------------|--------|--------------|--------|---|-----------------|
| Fee Code            | Fee \$ | Fee Code     | Fee \$ |   |                 |
| 103                 | 18     | 203          | 9      | Claims in excess of 20                                    |                 |
| 102                 | 84     | 202          | 42     | Independent claims in excess of 3                         |                 |
| 104                 | 280    | 204          | 140    | Multiple dependent claim                                  |                 |
| 109                 | 84     | 209          | 42     | **Reissue independent claims over original patent         |                 |
| 110                 | 18     | 210          | 9      | **Reissue claims in excess of 20 and over original patent |                 |
| <b>SUBTOTAL (2)</b> |        |              |        |   | <b>\$ - 0 -</b> |

\*number previously paid, if greater; see above for Reissues

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

| Large Entity              |        | Small Entity |        | Fee Description  | Fee Paid        |
|---------------------------|--------|--------------|--------|--|-----------------|
| Fee Code                  | Fee \$ | Fee Code     | Fee \$ |  |                 |
| 105                       | 130    | 205          | 65     | Surcharge - late filing fee or oath  | 130             |
| 127                       | 50     | 227          | 25     | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                       | 130    | 139          | 130    | Non-English specification  |                 |
| 147                       | 2,520  | 147          | 2,250  | For filing a request for <i>ex parte</i> reexamination                     |                 |
| 112                       | 920*   | 112          | 920*   | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                       | 1,840* | 113          | 1,840* | Requesting publication of SIR after Examiner action                        |                 |
| 115                       | 110    | 215          | 55     | Extension for reply within first month                                     |                 |
| 116                       | 400    | 216          | 200    | Extension for reply within second month                                    |                 |
| 117                       | 920    | 217          | 460    | Extension for reply within third month                                     |                 |
| 118                       | 1,440  | 218          | 720    | Extension for reply within fourth month                                    |                 |
| 128                       | 1,960  | 228          | 980    | Extension for reply within fifth month                                     |                 |
| 119                       | 320    | 219          | 160    | Notice of Appeal   |                 |
| 120                       | 320    | 220          | 160    | Filing a brief in support of an appeal                                     |                 |
| 121                       | 280    | 221          | 140    | Request for oral hearing   |                 |
| 138                       | 1,510  | 138          | 1,510  | Petition to institute a public use proceeding                              |                 |
| 140                       | 110    | 240          | 55     | Petition to revive - unavoidable   |                 |
| 141                       | 1,280  | 241          | 640    | Petition to revive - unintentional   |                 |
| 142                       | 1,280  | 242          | 640    | Utility issue fee (or reissue)   |                 |
| 143                       | 460    | 243          | 230    | Design issue fee   |                 |
| 144                       | 620    | 244          | 310    | Plant issue fee  |                 |
| 122                       | 130    | 122          | 130    | Petitions to the Commissioner  |                 |
| 123                       | 50     | 123          | 50     | Processing fee under 37 CFR 1.17(q)  |                 |
| 126                       | 180    | 126          | 180    | Submission of Information Disclosure Statement                             |                 |
| 581                       | 40     | 581          | 40     | Recording each patent assignment per property (times number of properties) |                 |
| 146                       | 740    | 246          | 370    | Filing a submission after final rejection (37 CFR 1.129(a))                |                 |
| 149                       | 740    | 249          | 370    | For each additional invention to be examined (37 CFR 1.129(b))             |                 |
| 179                       | 740    | 279          | 370    | Request for Continued Examination (RCE)                                    |                 |
| 169                       | 900    | 169          | 900    | Request for expedited examination of a design application                  |                 |
| 195                       | 300    | 195          | 300    | Publication fee  |                 |
| Other fee (specify) _____ |        |              |        |  |                 |
| <b>SUBTOTAL (3)</b>       |        |              |        |  | <b>\$130.00</b> |

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

|                     |                  |                                   |        |                          |           |              |
|---------------------|------------------|-----------------------------------|--------|--------------------------|-----------|--------------|
| Name (Print / Type) | Anthony Miologos | Registration No. (Attorney/Agent) | 29,677 | Complete (if applicable) | Telephone | 602-313-5683 |
| Signature           |                  | Date                              |        |                          |           |              |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



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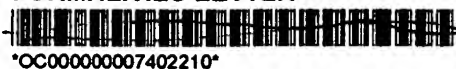
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/026,189         | 12/21/2001          | Michael J. Emery      | I20 01530 US           |

000128  
HONEYWELL INTERNATIONAL INC.  
101 COLUMBIA ROAD  
P O BOX 2245  
MORRISTOWN, NJ 07962-2245

CONFIRMATION NO. 9516

FORMALITIES LETTER



\*OC00000007402210\*

Date Mailed: 01/31/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*/C. Lee*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/02/2002 MBERHE 00000065 011125 10026189

01 FC:105 130.00 CH



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| APPLICATION NUMBER | FILING DATE | GRP ART.UNIT | FILE FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|----------------|-----------------|----------|------------|------------|
| 10/026,189         | 12/21/2001  | 2171         | 1478           | 120 01530<br>US | 11       | 33         | 9          |

000128  
HONEYWELL INTERNATIONAL INC.  
101 COLUMBIA ROAD  
P O BOX 2245  
MORRISTOWN, NJ 07962-2245

RECEIVED  
Office of General Counsel

FEB 07 2002

Anthony Mologos

CONFIRMATION NO. 9516

FILING RECEIPT



\*OC000000007402209\*

Date Mailed: 01/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Michael J. Emery, Glendale, AZ;  
David F. Rybarczyk, Phoenix, AZ;  
Christiaan M. H. Mets, Phoenix, AZ;

JAMES A. STRILICH PHOENIX, AZ

Domestic Priority data as claimed by applicant

**Foreign Applications**

If Required, Foreign Filing License Granted 01/31/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Method and apparatus for retrieving time series data related to an activity

**Preliminary Class**

707

2/6/02